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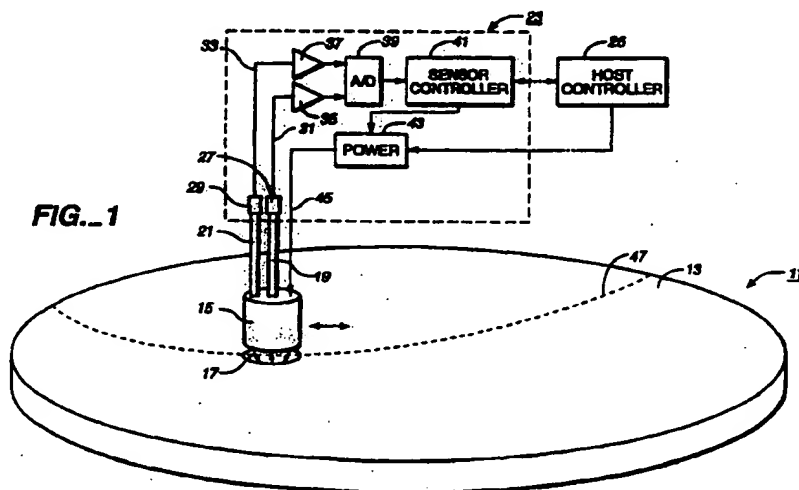
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(54) **Optical techniques for measuring layer thicknesses and other surface characteristics of objects such as semiconductor wafers**

(57) A characteristic of a surface is measured by illuminating the surface with optical radiation over a wide angle and receiving radiation reflected from the surface over a wide angle. An emissivity measurement can then be made for the surface, and, alternatively, if a reflectivity measurement is made, it becomes more accurate. One application is to measure the thickness of a layer or layers, either a layer made of transparent material or a metal layer. A one or multiple wavelength technique allow very precise measurements of layer

**thickness. Noise from ambient radiation is minimized by modulating the radiation source at a frequency where such noise is a minimum or non-existent. The measurements may be made during processing of the surface in order to allow precise control of processing semiconductor wafers, flat panel displays, or other articles. A principal application is in situ monitoring of film thickness reduction by chemical-mechanical-polishing (CMP).**



## Description

### BACKGROUND OF THE INVENTION

[0001] This invention relates generally to techniques for determining conditions of surfaces, and, more specifically, to doing so by measuring a characteristic of the reflectivity and/or emissivity of surfaces. An example application is the measurement of the thickness of a film on a substrate, such as a film that is formed or removed from a semiconductor wafer during the fabrication of integrated circuits. The thickness measurement is made either simultaneously with the film processing (in situ) or thereafter (in line). More specific applications include in situ measurements of the thickness of a film being reduced or removed by techniques such as wet etching, plasma etching, spin etching or chemical-mechanical-polishing (CMP).

[0002] As a result of the development of new semiconductor processing techniques and a steadily shrinking minimum semiconductor element size, a need exists to constantly improve techniques of monitoring and measuring the results of processing, and also to develop new ones. The trend is to make as many measurements of semiconductor wafers as possible in situ, which is usually more difficult to do than as a separate step after the processing. An example of one recent development is described in U.S. patent no. 5,769,540, wherein the reflectivity of a surface is measured, from which its emissivity and/or temperature can be determined without contacting the surface. The emissivity measurement is also usable to determine the thickness of a film carried by the substrate. These techniques are particularly useful for making in situ measurements during rapid thermal processing (RTP). Another development, described in U.S. patent no. 5,695,660, measures the thickness or level of planarization of both dielectric and metal layers in situ by optical or thermal techniques during etching or CMP, including making the measurements through the back side of the wafer. When applied to CMP, an optical signal communicates with a wafer being processed through an optical window provided in one of the moving elements such as the wafer carrier. In published international (PCT) application no. WO 97/25660, multiple sensors are carried by a moving component of a CMP machine, with a wireless communication of measurements and control signals provided between the sensors and a host control station. Other patent documents of interest include U.S. patents nos. 5,138,149, 5,190,614, 5,166,525, 5,292,605, 5,308,447, 5,362,969, 5,717,608 and 5,786,886, and PCT publication no. WO93/25893. Each of the foregoing patent publications is from Luxtron Corporation of Santa Clara, California, the assignee hereof, and is incorporated herein in its entirety by this reference.

[0003] It is a principal object of the present invention to provide further improvements to methods and instruments for optically measuring characteristics of

surfaces, such as surfaces of circuit structures partially formed on semiconductor wafers or flat panel displays.

[0004] It is a more specific object of the present invention to provide such further improvements to monitor the effects CMP processing.

[0005] It is another object of the present invention to provide improved optical methods and instruments for measuring the thickness of layers of dielectric, semiconductor or metal materials carried by a substrate.

[0006] It is a further object of the present invention to carry out the foregoing objects simultaneously with processing the surface or layer being monitored (in situ).

[0007] It is an even more specific object of the present invention to accurately measure the changing thickness of a layer carried by a substrate, such as a semiconductor wafer, while being processed (in situ) to increase or decrease the layer thickness.

### SUMMARY OF THE INVENTION

[0008] These and additional objects of the present invention are realized by the various aspects of the present invention, which are briefly and generally summarized.

[0009] A surface being monitored is illuminated by optical radiation with rays of the incident radiation spread over a wide angle to form a radiation field modified by the surface that is also collected over a wide angle and detected by a sensor. The angles of optical radiation illumination and collection are made sufficiently wide so that variations in an optical radiation path and/or of the surface being monitored, other than of the surface optical characteristic of interest, that occur over time or between different copies of the surface are minimized or substantially eliminated in order to improve the accuracy of the resultant measurements. In typical applications, the incident radiation is preferably spread over an angle of at least 45 degrees and up to 180 degrees when striking the surface being monitored, and is also preferably collected over an angle of 45 degrees or more, and up to 180 degrees. As the illumination angle is increased, the collection angle can be made narrower. The collected radiation is detected, and the detected radiation is processed to monitor a desired characteristic of the surface. Specific structures of sensors include use of an optical radiation spreading element, such as a diffuser or multi-pass reflector, positioned near to the surface being monitored, and an optical collection element, such as an end of an optical fiber or a lens, is positioned to receive the spread radiation after being modified by the surface, such as by reflection from the surface. Random or pseudo-random scattering of the illumination radiation is preferred, such as occurs when the optical radiation incident on the surface being monitored has passed through ground glass.

[0010] The wide angle illumination and detection significantly reduces the effects of variations in scatter-

ing of the optical signals that can occur independently of the quantity desired to be measured. The incident optical radiation, and that modified by the surface being monitored, can be scattered varying amounts that depend upon the surface, angles that the radiation strikes the surface and optical elements, changes over time, and other causes. If narrow angles are viewed, for example, any variation in the amount of incident radiation that is scattered into the narrow viewing angle because of differences in scattering properties across the surface being monitored or between different surfaces, versus that which is scattered over wider angles out of view, causes the detected optical signal to vary. Significant variations can also occur when the surface is being viewed through a liquid layer, such as an etchant or slurry, that changes its thickness and other characteristics over time. These factors often cause the measurement signal to have significant amounts of undesired noise. But if the surface is viewed over most or all the angles through which the incident radiation can be scattered by the surface, any liquid etchant on it and by the optical elements, this source of noise is significantly reduced. It is reduced further when the surface is illuminated over wide angles. Illumination and detection over a full hemisphere is ideal but significant improvements are made when lesser angles in the range given above are utilized.

[0011] The term "optical radiation" is used in this application to mean electromagnetic radiation in a continuous wavelength range including visible and near visible infrared and ultraviolet wavelengths, generally considered to extend from about 0.2 to about 4 microns. Monitoring the optical radiation modified by a surface usually also includes monitoring the level of radiation incident upon the surface so that the reflectivity or emissivity of the surface, or a related quantity, can be calculated either as the ultimate surface characteristic to be determined or as an intermediate quantity used to calculate some other surface characteristic. By making the measurements in a defined radiation wavelength range and with the geometric constraints discussed above, resulting calculations of the reflectivity or emissivity of the surface are highly meaningful because they are independent of changing conditions unrelated to surface reflectivity and emissivity. The calculation of emissivity is preferred because it is understood in the scientific community to be independent of the geometry, wavelength and other factors that can cause undesired variations in optical measurements.

[0012] When a layer of material being formed on or removed from a substrate, in whole or in part, is being monitored, it is preferably illuminated and viewed in the above described manner. An endpoint to the complete removal of a layer is one characteristic of the surface that may be determined. Another characteristic is the thickness of the layer, either in relative or absolute terms. Because surface reflectivity or emissivity is being determined with the effects of varying measurement

conditions being minimized, the thickness of a layer can be determined with a high degree of accuracy by a look-up table, model or other relationship between the reflectivity or emissivity and thickness.

[0013] When the monitored layer is a metal or other generally opaque material, its reflectivity and emissivity are directly related to the thickness of the layer if the layer is thin enough to be at least partially transparent. For example, when a metal layer is being removed from a substrate, the layer's reflectivity or emissivity, when measured by the techniques summarized above, is directly related to the thickness of the metal layer once it has become thin enough to be semi-transparent. A metal film on a semiconductor wafer is semi-transparent when its thickness is less than about 500 to 1500 Angstroms, depending on the metal and the wavelength of radiation used. Therefore, for such thin metal layers, their thicknesses can be determined from a look-up table or set of functions that relate the measured reflectivity or emissivity with the layer's thickness. This makes it possible to measure and control the thicknesses of thin metal films with precision. Once the metal film is completely removed, then the optical properties being measured are those of the layers under the metal film. The measurement of the reflectivity or emissivity of a metal layer can be conducted with one or more wavelengths of optical radiation.

[0014] The thickness of a layer of transparent material, such as a dielectric, can be monitored throughout a range of its entire thickness by viewing a signal resulting from interference of a portion of the incident optical radiation reflected from an outside surface from which material is being removed and another portion of the incident radiation reflected from some other interface in the structure of the substrate and layer. A given table or set of functions are used to relate specific values of the interference signal with layer thicknesses. Interference signals are preferably generated in at least two different wavelengths of optical radiation. Precise measurements of the thickness of a transparent layer are then obtainable without ambiguity under many circumstances when the two or more optical radiation wavelengths and ranges of layer thicknesses are suitable. In other cases, such precision additionally requires knowledge of the approximate layer thickness, as determined by observation or otherwise, in order to obtain a precise measurement of the transparent layer's thickness without ambiguity.

[0015] When two or more wavelengths of radiation are used to illuminate a layer, the radiation can be generated by separate narrow bandwidth radiation sources, such as light emitting diodes (LEDs), and then the uniquely responsive to one of the source wavelengths. Alternatively, or in combination, the two or more radiation sources are each modulated with a unique frequency. Electrical signals obtained from a single or multiple radiation receiving photodetectors are then filtered to pass the source modulating frequencies in

order to obtain a separate signal for each of the illumination wavelengths. The frequencies of modulation are preferably selected to be different from that of any ambient radiation or electrical noise that might be present in the environment in which the measurements are being taken, thereby to significantly reduce adverse effects of any such ambient radiation and noise. The radiation source modulation and photodetector signal filtering technique can also be used when a single optical radiation is directed at the surface being monitored in order to similarly reduce the effects of any ambient radiation and electrical noise that might be present at different frequencies from the modulating frequency.

[0016] Although the techniques summarized above are useful to measure a surface characteristic in-line or off-line when processing of the surface is not taking place, it is usually preferable to apply these techniques to in situ monitoring. This saves the extra steps necessary to make the measurements and provides the results in real time. The processing can then be controlled from the measurements, either automatically or by providing the measurements to an operator who then adjusts the processing. One specific processing method where these measurement techniques are particularly useful is CMP, where one or more substrates (such as semiconductor wafers) are held by a carrier, and their exposed surfaces moved across a polishing pad carried by a platen. A slurry of abrasive particles and a chemical etchant is usually also used on the pad. One or more optical radiation sensors, preferably of the wide radiation angle type described above, are installed in either the carrier, if the processing is being viewed from the back side of the substrates, or in the platen, if being viewed from the front side of the substrates. The front side of the wafers can also be periodically viewed in some CMP machines when the wafers are being loaded into or unloaded from the CMP machine, or are periodically moved off the platen within the CMP machine during the CMP process.

[0017] An analog signal obtained from a sensor installed in a CMP machine platen is a continuous one, resulting from being scanned across the one or more wafers held by the carrier and the surface of the carrier in between the wafers. If the reflectivity and emissivity of the carrier surface is significantly different than that of the wafers, there is a significant discontinuity of the signal obtained by the photodetector as its view crosses an edge of the wafer. Discrete measurements of the wafer surface are referenced to these discontinuities as a way of identifying the positions of the measurements. In some cases it is desired to take specific measurements near an edge of the wafer outside of patterned circuit die, and in others it is desired to take specific measurements over a patterned location. Control of the location is made possible by use of the edge discontinuity. The measurements at specific positions of the wafers are then monitored over time in order to measure the effect of the processing on the wafer surface.

[0018] The representative features of the present invention described above can be implemented alone without use of the others but various combinations of the foregoing summarized features and others are alternatively combinable for use in specific applications. Additional features, advantages and objects of the various aspects of the present invention are included in the following description of various embodiments, which description should be taken in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0019]

Figure 1 schematically illustrates in general terms a system for optically scanning a substrate to monitor a characteristic of the substrate surface;

Figures 2 and 3 show, in cross-section, alternative versions of a general optical scanning head of Figure 1;

Figure 4 shows the primary components of a first specific embodiment of the optical scanning head of Figure 1;

Figure 5 is a cross-sectional view of the optical head of Figure 4;

Figure 6 shows the primary components, in cross-section, of a second specific embodiment of the optical scanning head of Figure 1;

Figure 7 shows a modified optical arrangement for the system of Figure 1 to make measurements at two wavelengths;

Figure 8 are exemplary curves of measurements made of a transparent film with two wavelengths according to the system of Figure 1, as modified by the optics of Figure 7;

Figure 9 is a table that illustrates use of the curves of Figure 8;

Figure 10 shows another modified optical arrangement for the system of Figure 1 that modulates the illuminating optical radiation source;

Figure 11 shows another modified optical arrangement for the system of Figure 1 that modulates illuminating optical radiation sources and makes measurements at two wavelengths;

Figure 12 is a curve of a measurement made of a metal film according to the system of Figure 1;

Figure 13 is a table that illustrates use of the curve of Figure 12;

Figure 14 is a side view of one type of CMP machine;

Figure 15 schematically illustrates relative motion of various components of the CMP machine of Figure 14; and

Figures 16A and 16B are exemplary signals occurring in the CMP machine of Figures 14 and 15.

## DESCRIPTION OF PREFERRED EMBODIMENTS

[0020] Referring initially to Figure 1, a system utilizing the measurement techniques of the present invention is illustrated in general terms. A substrate or other object 11 contains a surface 13 that is being measured. An optical sensor head 15 directs optical radiation from one or more light emitting diodes (LEDs) against a spot 17 of the surface 13. Other sources of optical radiation can be used instead of LEDs but it is preferred that they have a narrow bandwidth, such as a few dozen nanometers in wavelength, or less. Highly coherent sources of optical radiation are unnecessary. A portion of the source radiation that is reflected from the surface is gathered by suitable optics, such as an optical fiber 19. Other optics, such as an optical fiber 21, collects a portion of the source radiation, preferably without any significant amount of radiation reflected from the surface, as background radiation that is used as a reference.

[0021] The sensor head 15 is connected with a module 23 that includes optical and electronic elements to interface between the sensor 15 and a host controller 25, such as a personal computer. Photodetectors 27 and 29 receive the optical signals from the optical fibers 19 and 21, respectively, to generate electrical signals in respective lines 31 and 33. These electrical signals are amplified by respective amplifiers 35 and 37, whose outputs are connected with an analog-to-digital converter 39. The amplified and digitized outputs of the photodetectors 27 and 29 and then sent to a controller 41 which controls operation of the sensor 15 and of the module 23, and can make a portion or all of the calculations necessary to determine the ultimate quantity related to the characteristic of the surface 13 that is being measured. The module 23 also includes a power circuit 43 that supplies, through a line 45, the LEDs or other optical radiation source included within the sensor head 15. The power is supplied by the host controller 25 but controlled by the controller 41; the module 23 is preferably provided in a small rugged package so that it may be positioned near the head 15 in a piece of processing machinery.

[0022] The size of the illuminated spot 17 is made to suit the measurement, a range of one-quarter to three-fourths of an inch in diameter for the applications specifically described herein. A diameter of the sensor head 15 of about one-half of one inch is preferred for the CMP applications described below, resulting in a spot 17 having a diameter something less than that, depending upon the optical elements at the end of the head 15. The size of the spot 17, of course, determines the area of the surface 13 that is averaged or integrated during each measurement.

[0023] Measurements can be made at a single point of the surface 13, at multiple points, or continuously across the surface 13, such as along a path 47, by providing relative motion between the head 15 and surface 13. Either the head 15 can be moved, or the sub-

strate 11 moved, or both. In the CMP application described below, both are moved.

[0024] Figure 2 shows a conceptual cross-sectional view of an end portion of the sensor head 15. Optical radiation from the source(s) (not shown in Figures 2 and 3) contained in the head 15 is directed through an optical radiation spreading element that extends across an end opening of a cylindrical housing 51. The element 49 spreads the source radiation over a maximum angle  $\theta$ , preferably with substantially uniform intensity. A diffraction element may be made for this purpose but use of ground glass for the element 49 operates satisfactorily and provides a randomness to the surface illumination. As discussed above, better results are obtained as the angle  $\theta$  increases. The element 49 is designed to provide this angle to be at least 45 degrees.

[0025] An end of an optical fiber has an acceptance angle of optical radiation that depends primarily upon the refractive index of the fiber core material. Such an angle  $\Phi$  is shown on Figure 2 at an end of the optical fiber 19, which extends through the element 49 to have an unobstructed view of the surface 13. It is also desirable that this angle be made as large as is practical, as discussed above, preferably 45 degrees or more. An optical fiber with a core made of sapphire, quartz or cubic zirconia has an angle of acceptance of about 45 degrees. For a larger angle  $\Phi$ , or when other optical fiber cores with a lower refractive index are used, such as plastic, a lens element 53 can be added to the end of the optical fiber 19, as shown in Figure 3. The diameter of the area viewed by the optical fiber 19 is increased, as indicated in Figure 3. It is the area of the surface 13 within the angle of acceptance  $\Phi$  that defines the spot 17, assuming that this area is also substantially uniformly illuminated.

[0026] One specific embodiment of a sensor head 15 is shown in Figures 4 and 5, where elements that are counterparts of those described above are identified by the same reference numbers but, when different in structure, have a prime (') added to the reference number. A plurality of LEDs 55-64 are distributed around a hemispherical shell 65. A transparent cover 67 may be provided with radiation scattering properties but a wide distribution of radiation results from the numerous LEDs and internal reflection within the shell 65. The surface 13 is illuminated with a range of angles close to 180 degrees, depending upon the distance between the shell and the surface 13. Lenses provided as part of the LEDs may also be roughened to add to the diffusion of the illumination provided by the head 15 of Figures 4 and 5.

[0027] Another specific embodiment of a sensor head 15, which is preferred, is shown in cross section in Figure 6. Within the cylindrical shell 51 are a plurality of LEDs, including LEDs 71 and 73, carried by a flat plate extending across the inside of the shell. Radiation from the LEDs passes through a diffuser 77 through which the radiation gathering optical fiber 19 extends, similar

to the structure of Figures 2 and 3. When used in hostile environments, such as in semiconductor liquid etch or CMP machines, the sensor head 15" is sealed against liquids, moisture and gas vapors. This can be done at one end by sealing the diffuser 77 to the diffuser 77 but a better seal is obtained by adding a single piece clear glass plate 79 which extends completely across and is sealed to the inside of the shell 51. Optical radiation from the LEDs is gathered into the optical fiber 17 by positioning a circular diffusive reflecting element 81 around the optical fiber 19. The element 81 is made small enough so as not to block the diffuser 77 from receiving almost all of the radiation from the LEDs but large enough to reflect enough of the radiation into the optical fiber 17 to obtain a useful background reference signal.

[0028] The wavelength of the optical radiation source is chosen to be the best for monitoring the particular surface characteristics of interest. The radiation needs to interact with the feature or characteristic of the object or its surface that is desired to be monitored, in a way that can be detected in the radiation after being modified by the object. For silicon semiconductor monitoring by reflecting radiation from a wafer, wavelengths slightly below the band edge of silicon are usually best, generally infra-red radiation less than one micron, to which the wafer is opaque. If radiation is transmitted through the object being monitored to obtain an object modified beam that is detected, the radiation is, of course, chosen to be of a wavelength that will pass through the object.

[0029] When the reflectivity of the surface 13 is being measured, the controller 41 calculates a ratio of the reflected radiation signal from the photodetector 27 to the background radiation signal from the photodetector 29, as is well known. The instrument is first calibrated by positioning the sensor head 15 against at least one surface having a known reflectivity, and preferably against several surfaces having known different reflectivities. Calibration includes adjusting the relative gains of the two signal channels until the instrument reads the known reflectivity of the test surface. This is preferably done by software within the controller 41, particularly when multiple test surfaces are used.

[0030] When the emissivity of the surface 13 is being measured, the controller subtracts the reflectivity from unity, as is well known. The instrument is similarly calibrated by using test order t surfaces of known emissivities. In the examples to follow, emissivity of a surface is calculated as a measure of the thickness of a layer of material that forms that surface. The primary application is in semiconductor processing but the techniques are not so limited. As is well known, a changing thickness of a dielectric material, which is transparent to the incident radiation, is monitored by measuring the interference of the radiation reflected from both surfaces of the layer. The interference signal passes through peaks and valleys as the layer either becomes thicker when being

formed by chemical vapor deposition (CVD) or otherwise, or becomes thinner as material is removed by wet or dry etching, CMP or some other technique.

[0031] The ability to determine an absolute thickness of a dielectric layer, rather than only a relative thickness or rate of change of the thickness, is provided by the present invention by making the measurement separately at different wavelengths and then combining the results. Figure 7 shows a modification of the single wavelength embodiments described above. Two different types of LEDs 91 and 92 emit optical radiation at wavelengths  $\lambda_1$  and  $\lambda_2$ , respectively. This is reflected off the surface 13 and gathered by an optical fiber 93, passed to a beam splitter 95 and thence to photodetectors 97 and 99 through respective optical bandpass filters 101 ( $\lambda_1$ ) and 103 ( $\lambda_2$ ). The signal outputs of these photodetectors are then digitized by the analog-to-digital converter 39'. The reference radiation is obtained by an optical fiber 105 which directs the radiation sampled from the LEDs 91 and 92 to a beam splitter 107. A portion of the reference radiation then strikes a photodetector 109 through an optical filter 113 that passes  $\lambda_1$ , and another portion reaches a photodetector 111 through an optical filter 115 that passes  $\lambda_2$ . The electrical outputs of these photodetectors are also applied to the analog-to-digital converter 39'.

[0032] The controller 41 (Figure 1) now calculates the reflectivity or emissivity of the surface 13 separately for each of the radiation wavelengths  $\lambda_1$  and  $\lambda_2$ . Calculated emissivity data are shown in curves of Figure 8. These curves are the same when the layer is being increased in thickness as when it is being decreased. One interference curve 117 results from processing the signals from the  $\lambda_1$  receiving photodetectors 97 and 109, and a second interference curve 119 is obtained from the signals from the  $\lambda_2$  receiving photodetectors 99 and 111. The calculation of emissivity described above is made twice, once for each wavelength. The curves of Figure 8 show the emissivity of an oxide layer with  $\lambda_1=0.650$  micron and  $\lambda_2=0.740$  micron. Additional data can be acquired for a third or more distinct wavelengths, thus giving one or more additional interference curves, but the complexity of implementing such a system generally outweighs the advantages of doing so. The periods of the curves 117 and 119 are different because of the different wavelengths at which the data is acquired. Their peaks and valleys are thus not aligned. This allows the thickness of the transparent layer of the surface 13 to be determined from the data forming both of the curves 117 and 119. The two values of the curves are unique for each value of layer thickness, at least over a significant range of thicknesses.

[0033] The table of Figure 9 illustrates this. Each of the pairs of emissivity values for the two wavelengths corresponds to a specific thickness of the layer being monitored. For example, when the emissivity is calculated to be u and w for the respective wavelengths  $\lambda_1$  and  $\lambda_2$ , it is known that the thickness of the layer is (A).

This is also shown on the curves of Figure 8. Similarly, a combination of values from the curves 117 and 119 of  $v$  and  $x$  gives a thickness ( $B$ ). The table of Figure 9 is empirically determined for a specific layer material. An instrument that is capable of measuring the thickness of two or more types of materials has a separate look-up table for each material. There may be up to one entry for each set of digital samples taken at one sample time by the analog-to-digital converter 39' (Figure 7) of the four analog signal inputs from the photodetectors 97, 99, 109 and 111. As an alternative to use of such a table, a mathematical function relating the two emissivity readings to layer thickness may be formulated for each different type of layer material that the instrument is intended to measure.

[0034] Depending upon the particular range of layer thicknesses and specific wavelengths used, long sequences of pairs of emissivity readings can repeat themselves in the look-up table of Figure 9, and thereby introduce an ambiguity in the thickness readings made. When this occurs, an additional piece of information is given the controller 41 (Figure 1), such as the approximate thickness at the beginning of an etch or CMP process. The user can input this information when the process is begun. This then allows the controller to start with the proper thickness value in response to a pair of emissivity readings that occurs more than once in the table. Use of a third or more emissivity readings can eliminate the ambiguity in many cases. When the measurement is being made of the thickness of a layer being formed, it is known to start making readings in the look-up table at zero thickness, so any repeating sequences of values do not cause an ambiguous thickness calculation.

[0035] Depending on the wavelength(s) at which the measurements are made, ambient radiation can exist in the processing machine or environment in which the measurements are taken. This can, of course, affect the accuracy of the surface measurements. Although the measuring instrument can be shielded from such optical radiation in many cases, its effect can alternatively be effectively eliminated by modulating the incident radiation at a frequency other than that at which the ambient radiation may be modulated. That is, the power supply frequency and harmonics (60, 120 Hz. etc. in the U.S.) are avoided. Frequencies of any electrical noise generated in the processing machinery, which can be induced into the measurement circuits carrying low level signals, are also avoided. The photodetector output is then applied to an electronic bandpass filter to pass a narrow band of frequencies around the modulating frequency. Such a technique is shown in Figure 10, wherein the power supply 43a to an LED 121 is modulated with a sinusoidal signal having a frequency  $f_1$ , such as several hundred Hz., depending on the situation. Background and reflected radiation photodetectors 121 and 123 apply their electrical signal to respective amplifiers 127 and 129. The amplified signals are then

passed through respective filters 131 and 133 having narrow pass bands around the frequency  $f_1$ . The electrical outputs of the filters 131 and 133 therefore represent the optical signals resulting from illumination by the LED 121 without optical or electrical noise introduced by the environment. Some characteristic of the filtered alternating current signals, such as their root-mean-square (rms), is taken as representative of the surface characteristic being measured, before further arithmetic processing.

[0036] This LED modulation technique can also be adapted to serve an additional function in a multiple wavelength instrument, as a variation of the embodiment of Figure 7. Figure 11 shows LEDs 135 and 137, emitting optical radiation at respective distinct wavelengths  $\lambda_1$  and  $\lambda_2$ , being modulated at respective distinct frequencies  $f_1$  and  $f_2$ . Rather than using optical filters to obtain separate signals for each of the two wavelengths, as is done in Figure 7, electrical bandpass filters are used. Only one reference radiation photodetector 139 is used, and only one photodetector 141 receives LED radiation reflected from the surface 13. Photodetector outputs are amplified by pre-amplifiers 143 and 145. The amplified signal from the photodetector 139 is applied to two filters 147 and 149, which each pass narrow frequency bands around one of the LED modulating frequencies  $f_1$  and  $f_2$ , respectively. Similarly, the amplified signal from the photodetector 141 is applied to two filters 151 and 153, which pass the frequencies  $f_1$  and  $f_2$ , respectively. The four filter outputs are then digitized by the analog-to-digital converter 39b. The controller 41 (Figure 1) then processes the signals from the  $f_1$  bandpass filters 147 and 151 to obtain data for one of the curves of Figure 8, and also processes the signals from the  $f_2$  bandpass filters 149 and 153 to obtain data for the other curve. Thus, if the modulating frequencies  $f_1$  and  $f_2$  are both chosen to suppress optical and electrical environmental noise in the manner discussed above with respect to Figure 10, the system of Figure 11 has that advantage as well. Additionally, the systems of Figures 7 and 11 can be combined by using both optical and electrical filters.

[0037] The optical detection of films of normally opaque materials such as metals has typically been limited to determining when a breakthrough occurs during removal of the film by detecting when the amplitude of reflected radiation changes from one level to another in response to the metal being totally removed. However, as illustrated by the curve of Figure 12, a measurement of the reflectivity or emissivity of a metal layer can provide a measurement of its thickness when very thin. The shape of the curve in Figure 12 is for a copper layer over a layer of tantalum, as an example, the combination of which is carried by another material, usually a dielectric, on a substrate. Copper is used for conductors in integrated circuits. The layer of tantalum, or some other appropriate material, provides a barrier to prevent copper from diffusing into the underlying dielectric. The



emissivity of the two layer structure, when at their fill thicknesses, is a (Figure 12), but when the copper layer is very thin, between thicknesses (X) and (Y), its emissivity varies in a measurable manner between a and b, as a function of its thickness. For copper, the thickness at (X) is about 1,000 Angstroms. In this example, the underlying tantalum is thin enough to not be completely opaque, its emissivity also varying in a measurable manner between levels b and c as a function of its thickness, as indicated between thicknesses (Y) and (Z) in Figure 12. The emissivity c is that of the material under the tantalum, usually a dielectric.

[0038] Such thin layers of metal are becoming extensively used in semiconductor processing and structures. The techniques of the present invention may be used to measure thickness of thin metal layers in situ during their formation, thinning or complete removal from all or portions of a wafer. When copper is used for conductors, a dielectric layer is formed to cover the wafer except where contacts need to be made through the dielectric layer to aluminum, polysilicon, substrate diffusions and the like. Shallow trenches are formed in the dielectric to define where the copper conductors are to be placed. A thin (typically 200-800 Angstroms) barrier layer, such as tantalum, is then deposited over the entire dielectric, including the trenches and vias through the dielectric. A copper layer is then formed, usually by electroplating, over the barrier layer. CMP is then used to remove the copper and underlying barrier layer from the top surface of the dielectric, leaving them in the trenches and vias where the copper conductors are desired to remain.

[0039] Figure 13 is a look-up table that provides empirically determined data relating the emissivity and layer thickness of the curve of Figure 12. Measurements of emissivity, made by one of the ways described previously, are then converted to thickness by use of such a look-up table. The dual wavelength and modulated source techniques may also be used with metal layers.

[0040] It can be then seen that the thickness of a thin metal layer, when in a region such as between (X) and (Y) of Figure 12, can be carefully controlled both during its formation and during its removal. When forming the two tantalum and copper layers of that example, the data of that characteristic curve are monitored from right to left, and when the layers are being removed, from left to right. It is also useful to monitor the thickness of a layer when it is being totally removed since this can give the process controller or the operator an indication when that end point is about to be reached.

[0041] A very significant application of the various aspects of the present invention is the in situ measurement of layer thickness during its removal, at least partially, by CMP. The largest application of CMP is in semiconductor wafer processing. There are many different types of CMP machines being used and contemplated for use in the future. They provide relative motion with pressure between the layer surface and a pad, with

a slurry of liquid chemical etchant and abrasive particles between them. It is difficult to optically monitor processes of such machines in situ because of the complex motion usually given to the substrate and layer being measured, limited optical access and optically hostile environment.

[0042] Figures 14 and 15 show installation of a film thickness monitor according to the present invention on a CMP machine. In this example, four semiconductor wafers are being processed at one time. Wafers 161 and 163 carry respective layers 165 and 167 that are being reduced in thickness. The backsides of the wafers are attached to respective wafer carriers 169 and 171. The wafer carriers are rotated by respective electrical motors 173 and 175. A structure 177 holds the wafer carriers in position. The wafer layers are urged against a pad 179 that is attached to a round platen 181 that itself is rotated by an electrical motor 183. A layer 185 of a liquid chemical etchant and abrasive particles in a slurry is applied to the pad 179. Material is removed from exposed surfaces of the wafer layers 165 and 167 by grinding them across the pad 179 with the abrasive slurry between them.

[0043] The sensor head 15 described above, preferably the version shown in Figure 6, is installed in the platen 181 in a position to look up to reflect light off of the layers being processed as they move over the head 15. A transparent window 187 is installed in the pad 179 over the sensor 15. Optical radiation generated within the head 15 then travels upward through the window 187, through the slurry 185, strikes the wafer layers in turn that are being processed, and returns back through the same path to the gathering optics within the head 15. The head electronics 23 is attached underneath the platen 181. Communication of its digital output signals and control signals with the host controller 25 can occur through a slip-ring assembly 189 on a rotating shaft 191 of the platen 181. Alternatively, wireless communication can be utilized, such as with the use of infra-red or radio frequency frequencies.

[0044] It can be seen that the optical path between the sensor head 15 and the wafer layer being monitored is not unencumbered. Particularly the slurry 185, although largely transparent to the interrogating radiation, affects the optical radiation that must pass through it twice, once in each direction. But the sensor head of the present invention overcomes this difficulty to provide good measurements. The wide angle radiation illumination and collection, as described above, minimizes adverse effects of the changing optical properties of the slurry over time, particularly changing radiation scattering. The present invention thereby makes it possible to accurately measure the thickness of the layers being processed, while they are being processed.

[0045] Figure 15 shows the platen 181 with the position in space of the wafers 161 and 163, as well as additional wafers 162 and 164, shown in dotted outline. Each of the four wafers is rotated in the direction indi-



cated with arrows by its individual driving motor. The direction of rotation of the platen 15 is also indicated by an arrow. The sensor head 15 is thus scanned in a path 193 across all four rotating wafers, one at a time. An example emissivity signal obtained from the sensor head electronics 23 (Figure 1) is shown in Figure 16A. This signal provides the emissivity (or, alternately, can be the reflectivity, if desired) of each wafer in turn as it is being scanned by the sensor 15, with the emissivity (or reflectivity) level of some other portion(s) of the CMP machine being measured as the sensor 15 moves between wafers. A rotational position encoder 195 attached to the rotating platen shaft 191 is read by a sensor 197, from which the host controller 25 generates relative position pulses such as those shown in Figure 16B. The encoder and sensor 195 and 197 can be of any suitable mechanical, optical, magnetic or other known type. The position pulses have a purpose of allowing the host controller to correlate the received data with an individual wafer.

[0046] The host controller 25 can easily separate the stream of data obtained from the sensor head electronics 23, as shown in Figure 16, into separate that from the wafers and that from the portions of the processing machine in between the wafers. This is because of a sharp change in emissivity level that will normally occur as the sensor head 15 passes across an edge of a wafer. For example, such a change occurs at a signal edge 201 as the sensor head 15 passes across an edge of the wafer 161 and onto the wafer. As the sensor head 15 leaves the wafer 161, passing from it to view some other surface, an edge 203 in the signal of Figure 16A occurs. As the processing of the wafer 161 proceeds, the measured level of its emissivity changes, for example, between two levels 205 and 207. Because the edges of the wafers are defined in the signal, this allows the host controller 25 to select a location on the wafer a certain distance from an edge for measuring emissivity as the processing proceeds. A signal 209 is indicated for a given wafer location a defined distance from a wafer edge. This wafer location can be selected, for example, to be where integrated circuits are formed, or, alternatively, nearer wafer edges where integrated circuits are not formed. The signal 209 varies over time, in a manner of the examples of Figures 8 and 12. Each time the sensor head 15 passes over the location of the wafer 161 where the signal 209 is taken, a new emissivity data point is acquired for that location. Monitored data may be selected by the host controller 25 for each of the other three wafers that have the same position with respect to their edges as the data 209 of the wafer 161. By using such a common location, the layer thickness may be compared among wafers.

[0047] Of course, data for more than one location on each wafer may be monitored during the processing. Averages of several data points across each wafer may also be independently monitored. Or an average of all readings across a specific segment or the entire wafer

can be made and turned into time based signals of the type shown in Figures 8 and 12. Further, as can be seen from the signal of Figure 16A, uniformity of the processing across a defined portion or all of the individual wafers can be monitored. Non-uniform processing shows as a variation of the data 205 in a single span, for example, between the edges 201 and 203. Statistical calculations can be made by the host processor 25 of data from a particular wafer to provide an indication of a spread of the emissivity values across that wafer, either from a single scan or from multiple scans across it. Further, polishing rates may be calculated from the emissivity data, either at one or more specific wafer locations with respect to an edge or on the average across a portion or the entire wafer.

[0048] It will be recognized from Figure 15 that the path 47 (Figure 1) scanned across a wafer is not the same each time because of the complex relative motion given to the wafers and the sensor head 15. That motion, however, depends upon the particular CMP equipment with which the measuring techniques of the present invention are utilized.

[0049] Although the various aspects of the present invention have been described with respect to their preferred embodiments, it shall be understood that the invention is entitled to protection within the full scope of the appended claims.

#### Claims

1. A method of determining a particular characteristic of a surface area, comprising:

positioning at a first distance from the surface area an optical element that spreads incident optical radiation over an angle of 45 degrees or more, directing optical radiation from one or more optical radiation sources to the radiation spreading optical element and thence onto the surface area to reflect the spread radiation from the surface area, capturing, through the radiation spreading element, optical radiation reflected by the surface area with first radiation gathering optics having an angle of acceptance of 45 degrees or more and positioned a second distance from said surface area, capturing optical radiation from the one or more radiation sources with second radiation gathering optics positioned to receive optical radiation from the one or more radiation sources, detecting the optical radiation captured by the first and second radiation gathering optics, and determining the particular characteristic of the surface area from levels of the detected optical radiation from each of the first and second radiation gathering optics.

2. The method of claim 1, wherein capturing optical radiation from the one or more radiation sources includes reflecting a portion of the optical radiation from said one or more radiation sources into the end of the second light pipe from a reflective optical radiation diffuser that is positioned in a path of optical radiation from said one or more optical radiation sources.
3. The method of claim 1, wherein the particular characteristic of the surface area includes its reflectivity, and determining the reflectivity includes taking a ratio of a detected level of optical radiation captured by the first and second light pipes.
4. The method of claim 1, wherein the particular characteristic of the surface area includes its emissivity, and determining the emissivity includes taking a ratio of a detected level of optical radiation captured by the first and second light pipes.
5. The method of claim 1, wherein the surface area extends across a film carried by a substrate, and the particular characteristic of the film being determined includes its thickness, and determining the film thickness includes comparing a detected level of optical radiation captured by the first and second light pipes.
6. The method of claim 1, wherein the surface area extends across a structure formed on a semiconductor wafer.
7. The method of claim 1, wherein the surface area extends across a structure formed on a flat panel display.
8. The method of any one of claims 3-7, wherein the particular characteristic of the surface area is being determined simultaneously with the surface area being processed in a manner that changes its said particular characteristic.
9. The method of claim 8, wherein the surface area is being processed by chemical-mechanical-polishing.
10. The method of any one of claims 1-7, wherein positioning the radiation spreading optical element includes positioning a transparent radiation diffuser the first distance from the surface area.
11. The method of claim 7, which additionally comprises holding said surface area against a moving element surface, and wherein directing radiation onto the surface area includes directing radiation from the one or more radiation sources and through the diffuser that are located within the moving element.
12. The method of any one of claims 1-7, wherein positioning the radiation spreading optical element includes positioning a hemispherical element having a plurality of optical radiation sources held around an inside surface thereof, which inside surface is reflective and positioned to open onto the surface area.
13. The method of any one of claims 1-7, wherein directing optical radiation to the radiation spreading optical element from one or more optical radiation sources includes directing said radiation from at least one source of optical radiation of a first bandwidth and at least one source of optical radiation of a second bandwidth, said first and second bandwidths being distinct from each other.
14. The method of claim 13, wherein the sources of optical radiation of the first bandwidth are driven at a first modulating frequency, the sources of optical radiation of the second bandwidth are driven at a second modulating frequency that is distinct from the first modulating frequency, wherein detecting the optical radiation includes directing the optical radiation captured by the first radiation gathering optics onto a photodetector, and wherein determining the surface area characteristic includes directing an electrical output signal from the photodetector through bandpass filters that respectively pass said first and second modulating frequencies.
15. The method of claim 5, wherein directing optical radiation to the radiation spreading optical element includes directing said radiation from at least one source of optical radiation of a first bandwidth and at least one source of optical radiation of a second bandwidth, said first and second bandwidths being distinct from each other, wherein the film is transparent to the optical radiation of said first and second bandwidths, and additionally comprising:
 

maintaining a relationship of values of thickness of the film as a function of pairs of values of the optical characteristic data at the first and second bandwidths, and

repetitively converting measured pairs of values of the optical characteristic at the respective first and second bandwidths to values of layer thickness by reference to said relationship.
16. The method of claim 5, wherein the film is metal, and additionally comprising:
 

maintaining a relationship of values of thick-

ness of the film as a function of either reflectivity or emissivity in a region where the metal film becomes partially transparent to the optical radiation from said one or more optical radiation sources, and

repetitively converting measured pairs of values of the optical characteristic at the respective first and second bandwidths to values of layer thickness by reference to said relationship.

17. The method of either of claim 15 or 16, wherein the layer characteristic determining steps are performed simultaneously with the film being reduced in thickness by a process of chemical-mechanical polishing.
18. The method of any one of claims 1-7, wherein directing optical radiation to the radiation spreading optical element includes modulating the optical radiation from said one or more optical radiation sources at a given frequency, wherein detecting the optical radiation includes directing the optical radiation captured by the first radiation gathering optics onto a photodetector, and wherein determining the surface area characteristic includes directing an electrical output signal from the photodetector through a bandpass filter that passes said given modulating frequency.
19. The method of any one of claims 1-7, additionally comprising repetitively moving across the surface the area to which optical radiation is directed from said one or more optical radiation sources and from which optical radiation reflected therefrom is captured, noting a change in the detected optical radiation caused by a discontinuity on the surface; and referencing a region on the surface in a certain position with respect to the discontinuity for which said particular surface area characteristic is determined.
20. The method of any one of claims 1-7, wherein capturing optical radiation reflected by the surface area by the first radiation gathering optics includes positioning an end of a first light pipe the second distance from the surface area.
21. The method of claim 20, wherein capturing optical radiation from the one or more radiation sources includes positioning an end of a second light pipe to receive optical radiation from the one or more radiation sources.
22. Apparatus for determining a reflective characteristic of an area of an article, comprising:  
  
a machine component having a surface

adapted to be contacted by said article,  
a window forming a portion of said component surface,  
at least one source of optical radiation carried by the component on a side of the window opposite to the article contacting surface,  
an optical radiation spreading element carried by the component between the window and said at least one source of optical radiation, said element spreading light from said at least one source of optical radiation through the window over an angle of 45 degrees or more,  
a first light pipe carried by the component that extends through the spreading element with an end facing the window to gather optical radiation passing through said window from the article area, said first light pipe end having an angle of acceptance of 45 degrees or more,  
a first photodetector carried by the component to receive optical radiation in the first light pipe and generate a first electrical signal related thereto,  
a second light pipe carried by the component and having an end positioned between said at least one source of optical radiation and the spreading element to obtain optical radiation from said at least one source of optical radiation,  
a second photodetector carried by the component to receive radiation in the second light pipe and generate a second electrical signal related thereto, and  
a processor utilizing the first and second electrical signals for determining the reflective characteristic of the article area.

23. The apparatus of claim 22, additionally comprising a second optical radiation spreading element carried by the component between the window and said at least one source of optical radiation in a position to direct optical radiation from said at least one source of optical radiation into the second light pipe end.
24. The apparatus of claim 23, wherein the article contacting surface of the component is planar and has a back surface defining a thickness of the element therebetween, and wherein all of the window, at least one source of optical radiation, the spreading element, the second optical radiation spreading element, the first light pipe end, and the second light pipe end are positioned in a compartment formed in the component between its said article contacting and back surfaces.
25. The apparatus of claim 24, wherein an electronic unit is attached to said machine component that includes amplifiers for each of the first and second

electrical signals, an analog-to-digital converting circuit, and a processor, an output of the processor providing data of the article area reflective characteristic being determined.

26. The apparatus of claim 25, wherein the machine component is moveable to process the article.

27. The apparatus of any one of claims 22-25, wherein the component is part of a chemical-mechanical-polishing machine that is given motion while processing the article area.

28. The apparatus of claim 27, wherein the component is a platen with a polishing pad as its said surface adapted to be contacted by said article.

29. A method of monitoring the processing of the surface of at least one article by chemical-mechanical-polishing wherein the article is held by a first machine surface in a manner that a surface of the article is urged against a second machine surface and relative motion is provided therebetween, comprising:

providing the first surface with a different reflective property than the surface of the article, providing at least one sensor recessed into the second surface in a position to be scanned across said at least one article surface as the first and second surfaces move with respect to each other and provide an electrical signal related to a reflective property of the article surface and of the first surface there around as a function of the position of said at least one sensor thereacross,

detecting discontinuities in a level of the electrical signal that occur as the sensor passes across edges of the article,

using at least one of said signal discontinuities as a time reference to identify at least one segment of the electrical signal occurring between the signal edge discontinuities that corresponds to a region of the article whose surface is desired to be monitored during the processing,

accumulating data from repetitive occurrences of said signal segment as the sensor repetitively scans across the article surface, and converting the accumulated signal segment data into a representation of the reflectivity or emissivity of the individual article surface throughout a period of the processing.

30. The method of claim 29, wherein two or more articles are simultaneously processed, thereby to generate a signal having discontinuities as the sensor passes across the edges of each of said two or

more articles, at least one electrical signal segment being identified for each of the two or more articles, data being accumulated from repetitive occurrences of each of the individual article wafer segments and the accumulated data being converted into representations of the reflectivity or emissivity of the individual article regions corresponding to the individual article signal segments.

31. The method of either of claims 29 or 30, additionally comprising illuminating the article surface with incident optical radiation spread over an angle of at least 45 degrees, and capturing by the sensor a portion of the incident optical radiation reflected by the article surface over an angle of 45 degrees or more.

32. An optical method of monitoring the thickness of a layer of transparent material carried by a substrate as it is being changed by processing, comprising:

acquiring data of an optical characteristic of the layer at at least first and second distinct wavelengths of optical radiation, wherein said optical characteristic varies differently as a function of the thickness of the layer at said first and second wavelengths,

maintaining a relationship of values of thickness of the layer as a function of pairs of values of the optical characteristic data at the first and second wavelengths, and

repetitively convening measured pairs of values of the optical characteristic at the respective first and second wavelengths to values of layer thickness by reference to said relationship, said converting occurring simultaneously with the processing changing the thickness of the layer.

33. The method of claim 32, wherein acquiring data of an optical characteristic of the layer includes illuminating the surface with optical radiation including said first and second wavelengths, receiving a portion of the illuminating radiation reflected from the layer, and detecting the reflected radiation in a manner to provide separate signals related to levels of the reflected radiation at said first and second wavelengths.

34. The method of claim 33, wherein detecting the reflected radiation includes providing first and second photodetectors in the path of the reflected radiation with respective first and second optical bandpass filters in front thereof, said first filter passing optical radiation of the first wavelength while blocking optical radiation of the second wavelength and the second filter passing optical radiation at the second wavelength while blocking optical radiation

at the first wavelength.

35. The method of claim 33, wherein illuminating the surface includes modulating illuminating radiation of said first and second wavelengths at respective first and second distinct frequencies, and wherein detecting the reflected radiation includes obtaining a photodetector electrical output signal thereof and filtering said electrical signal to pass components thereof that are related to values of the optical signal at said first and second frequencies.
36. The method of claim 32, wherein the optical characteristic of the layer for which data is acquired includes an emissivity of the layer.
37. The method of claim 32, wherein maintaining the relationship of values includes maintaining a table of pairs of values of the optical characteristic at said at least first and second wavelengths for each of a plurality of thicknesses of said layer, and converting measured pairs of values of the optical characteristic to values of layer thickness includes looking up the layer thickness in this table.
38. The method of any one of claims 33-35, wherein illuminating the surface additionally includes directing the optical radiation against the surface over an angle of 45 degrees or more and receiving radiation reflected from the layer includes receiving said radiation over an angle of 45 degrees or more.
39. The method of claim 38, wherein the optical characteristic of the layer for which data is acquired includes a reflectivity of the layer.
40. The method of claim 38, wherein the optical characteristic of the layer for which data is acquired includes an emissivity of the layer.
41. The method of any one of claims 32-36, wherein the processing being performed on said layer is chemical-mechanical-polishing.
42. A method of changing the thickness of a layer of metal carried by a substrate, comprising:
  - directing optical radiation against the layer over an angle of at least 45 degrees, thereby to reflect a portion of said radiation from said layer, and receiving the reflected radiation over an angle of at least 45 degrees, simultaneously processing the layer to alter its thickness,
  - monitoring the reflected radiation as the layer is being altered in thickness from a constant value over time until the value changes but before the value becomes constant over time at

a different level,

comparing the value of the reflected radiation during its changing with known values as a function of the thickness of said layer, and terminating altering the thickness of the layer when the reflected radiation is determined to correspond to the desired thickness of the layer.

43. The method according to claim 42, wherein the layer is being processed by CMP to remove material therefrom.

44. The method of forming copper conductors on an integrated circuit structure, comprising:

forming a dielectric material layer over the integrated circuit structure,  
 forming trenches in a top surface of the dielectric material where copper conductors are to be formed,  
 depositing a barrier layer of material over the dielectric top surface and into said trenches,  
 depositing a layer of copper material over the barrier layer on the top surface and into the trenches of the dielectric material layer,  
 removing by a CMP process the copper and barrier layers from the top surface of the dielectric but not from the trenches,  
 concurrently with removing the layers, directing optical radiation against the layers over an angle of at least 45 degrees, thereby to reflect a portion of said radiation from said layers, and receiving the reflected radiation over an angle of at least 45 degrees,  
 monitoring the reflected radiation as the layers are being removed,  
 comparing the value of the reflected radiation during its changing with known values as a function of the thickness of said layers, and terminating the CMP process when the reflected radiation is determined to correspond to the desired thickness of the layer.

45. A method of measuring, through an optical radiation scattering medium, the thickness of a layer carried by a surface, comprising:

directing incident optical radiation through the scattering medium to the layer in a manner to obtain optical radiation reflected thereby, including spreading and scattering the optical radiation from one or more radiation sources prior to the incident radiation passing through the scattering medium,  
 capturing the optical radiation reflected by the layer through the radiation scattering medium over an angle sufficient to counteract effects of

variations in scattering of the optical radiation  
by the radiation scattering medium,  
capturing optical radiation from the one or more  
radiation sources without effect of the reflected  
optical radiation, 5  
separately detecting the optical radiation  
reflected by the layer and the optical radiation  
from the one or more radiation sources, and  
determining the thickness of the layer from lev-  
els of the detected optical radiation. 10

46. The method of claim 45, wherein spreading and  
scattering the optical radiation includes directing  
the incident optical radiation through a diffuser prior  
to striking the scattering medium and the layer. 15
47. The method of either one of claims 45 or 46,  
wherein directing optical radiation includes direct-  
ing said optical radiation through the scattering  
medium to the layer over an angle of 45 degrees or 20  
more, and wherein capturing the optical radiation  
reflected by the layer includes receiving the optical  
radiation reflected by the layer through the scatter-  
ing medium over an angle of 45 degrees or more. 25

25

30

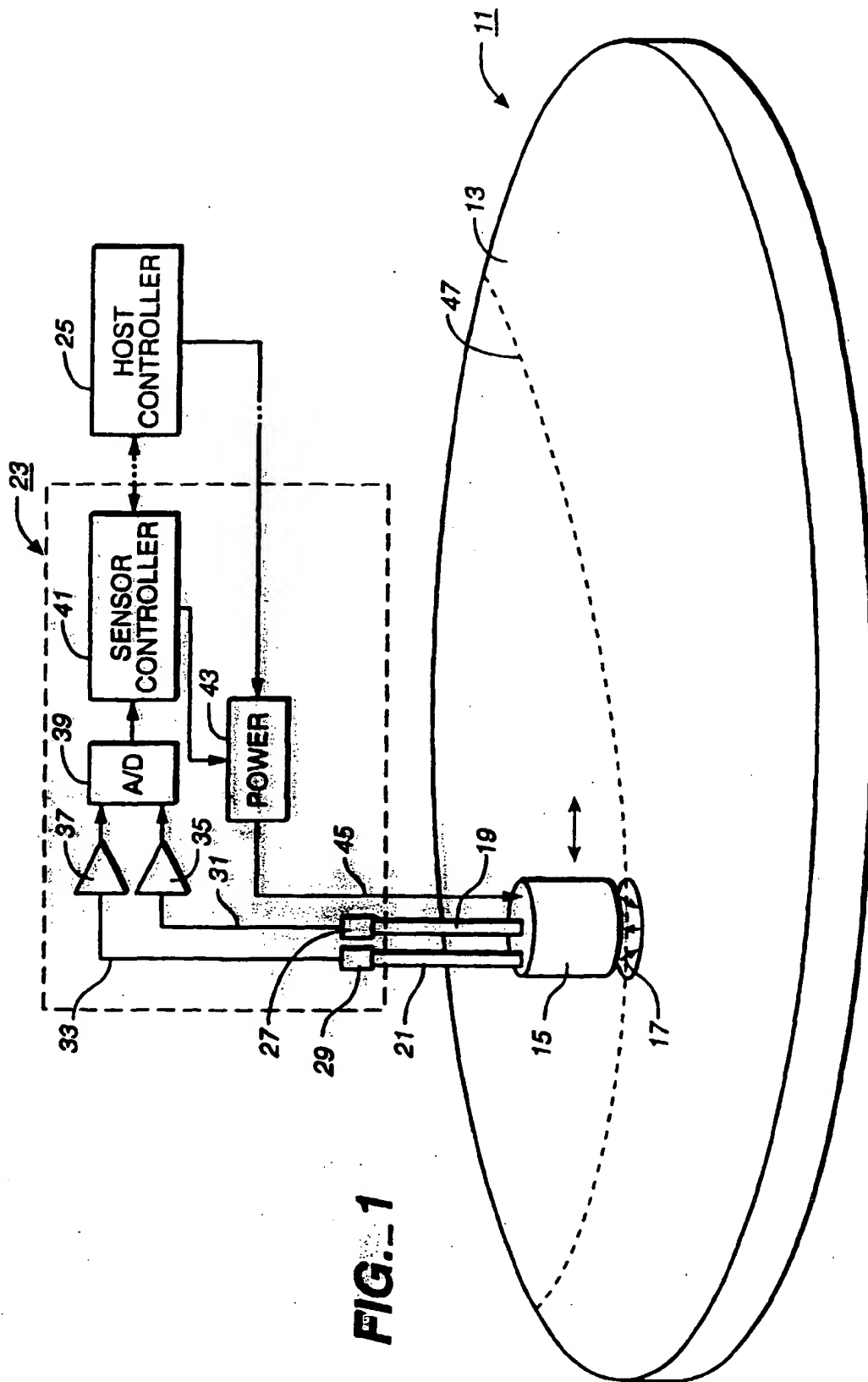
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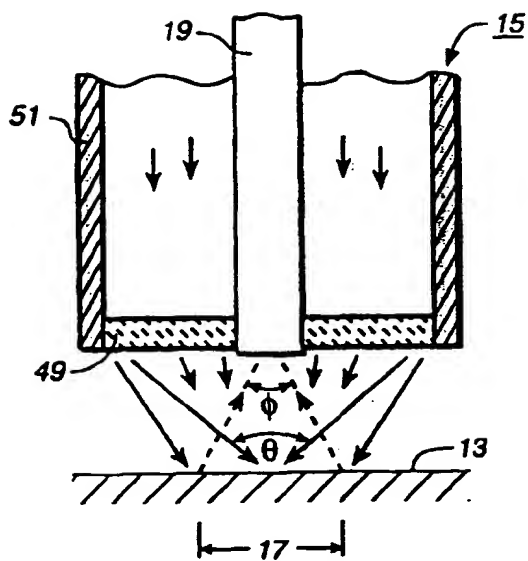
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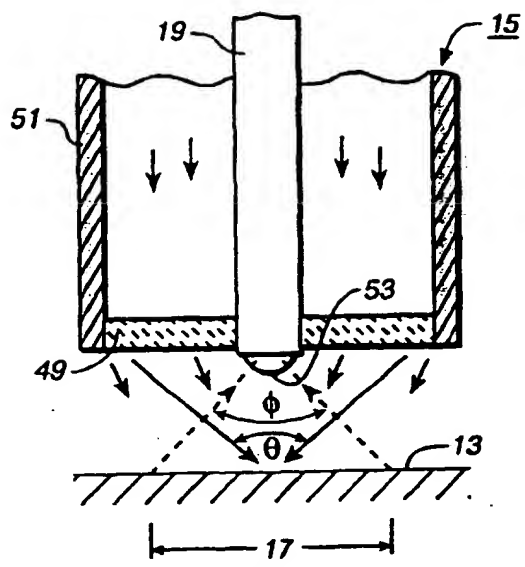
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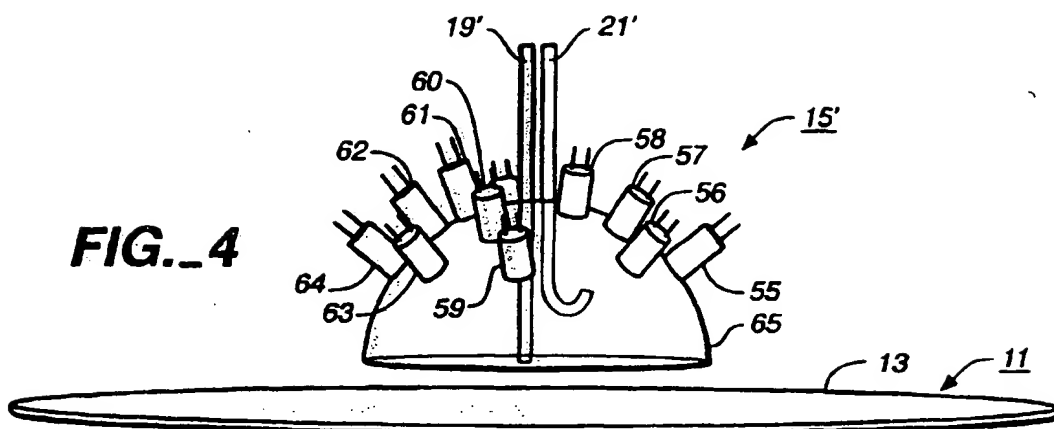


**FIG. 2**

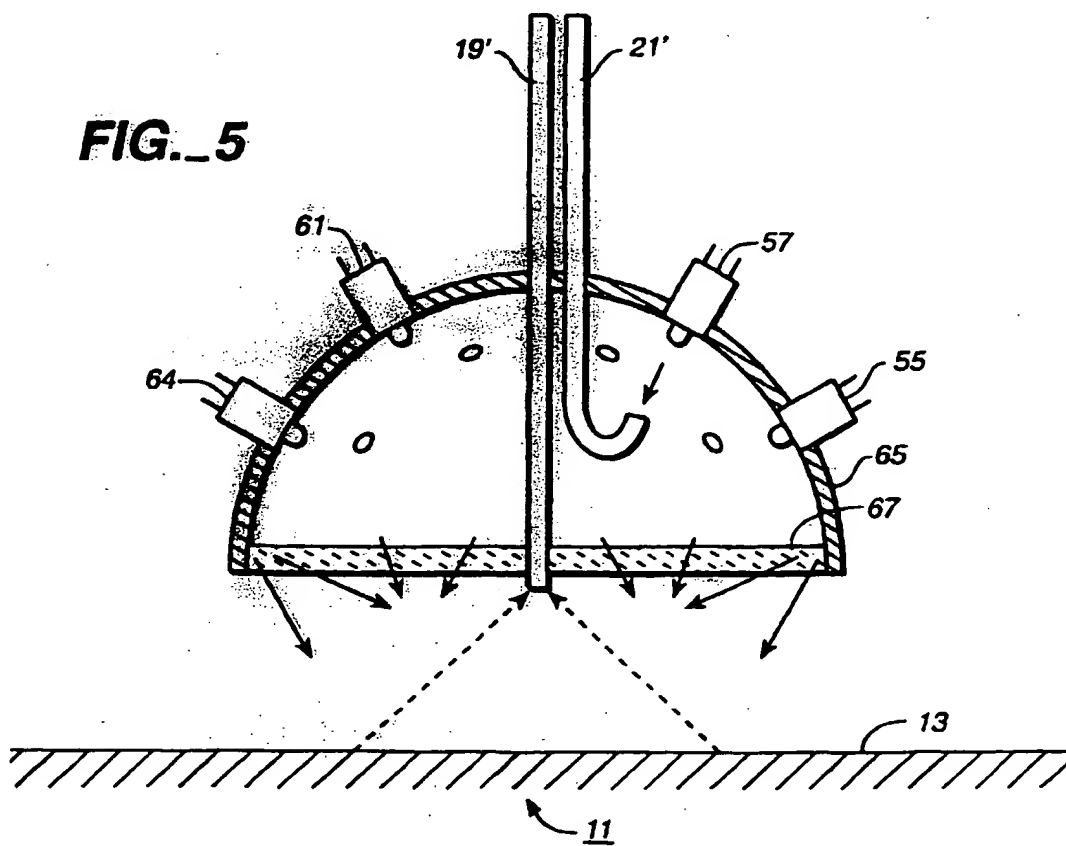


**FIG. 3**

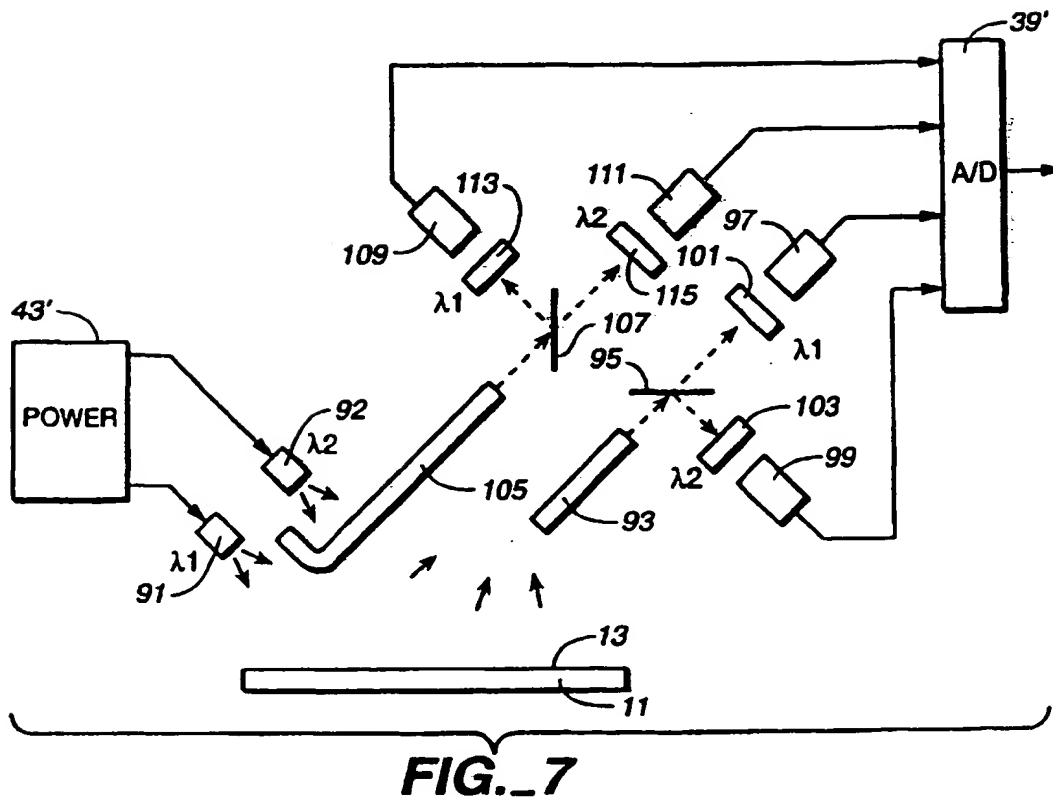
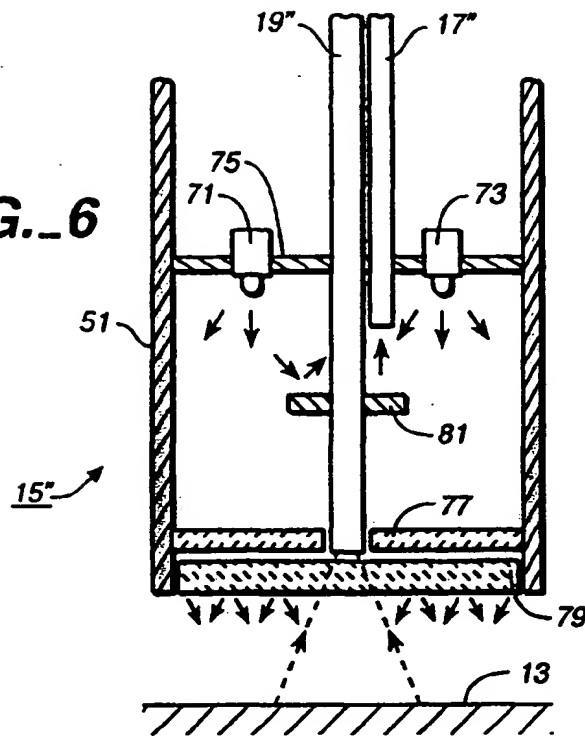
**FIG.\_4**



**FIG.\_5**



**FIG. 6**



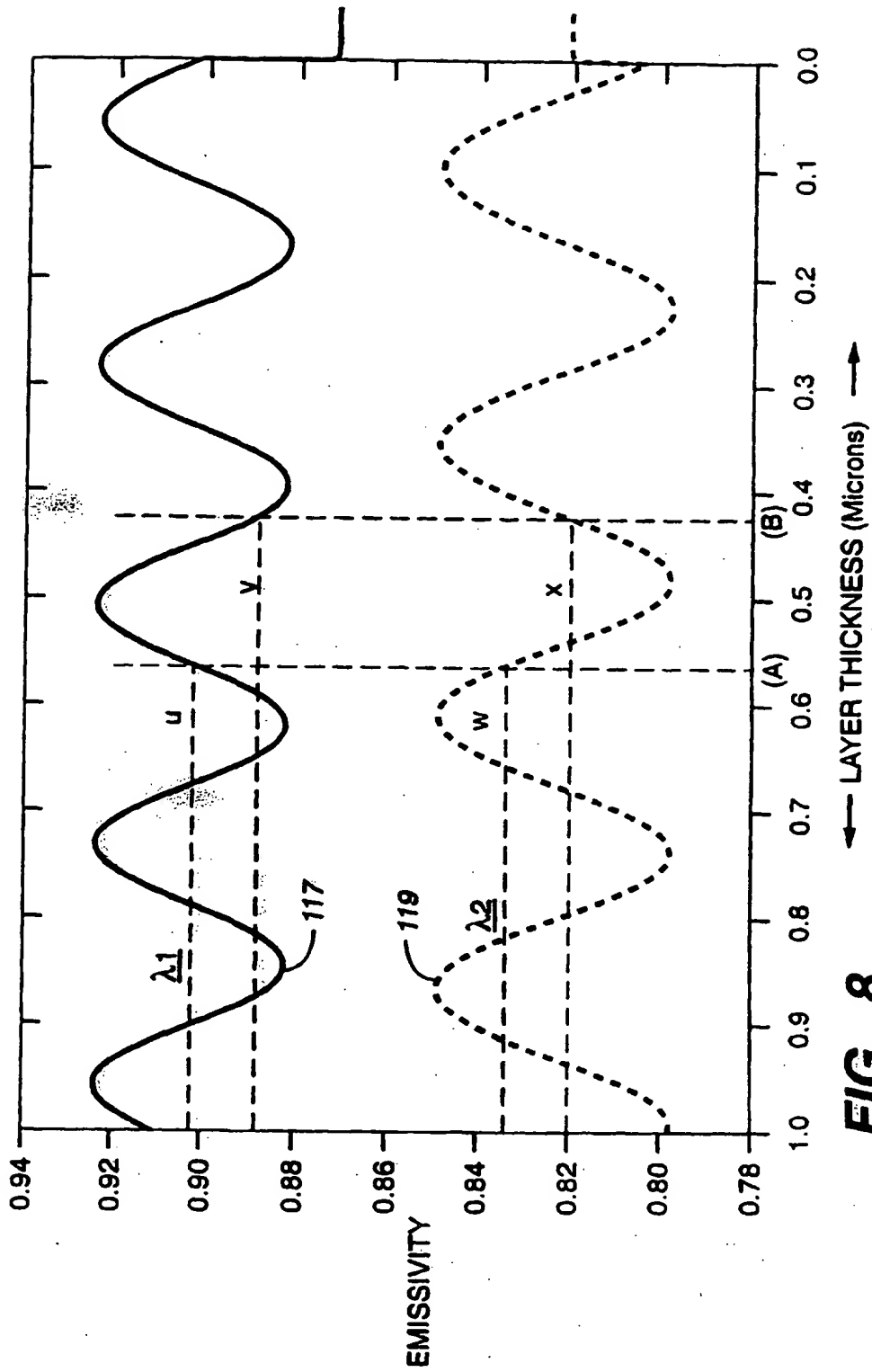


FIG. 8

**TRANSPARENT FILM  
LOOK-UP TABLE**

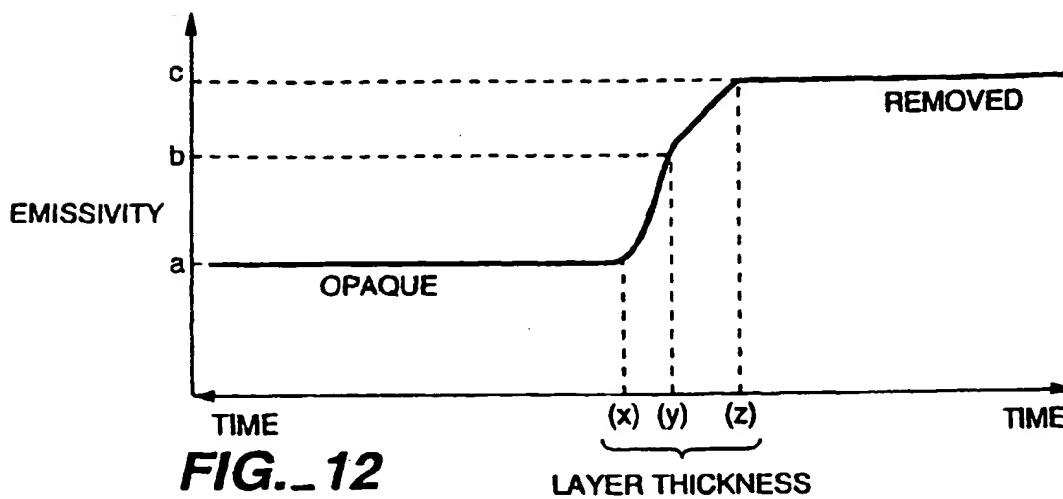
EMISSIONITY		FILM THICKNESS
$\lambda_1$	$\lambda_2$	
.....	.....	.....
u	w	(A)
.....	.....	.....
⋮	⋮	⋮
.....	.....	.....
v	x	(B)
.....	.....	.....
⋮	⋮	⋮

**FIG.\_9**

**METAL FILM  
LOOK-UP TABLE**

EMISSIONITY	FILM THICKNESS
a	UNKNOWN
a	UNKNOWN
a	(X)
=	=
b	(Y)
=	=
c	(Z)
c	UNKNOWN
c	UNKNOWN

**FIG.\_13**



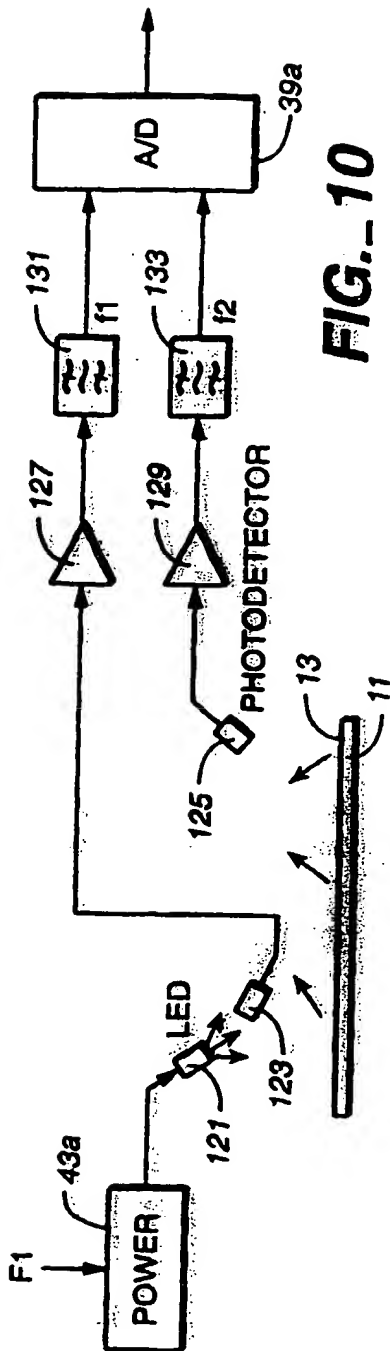


FIG. 10

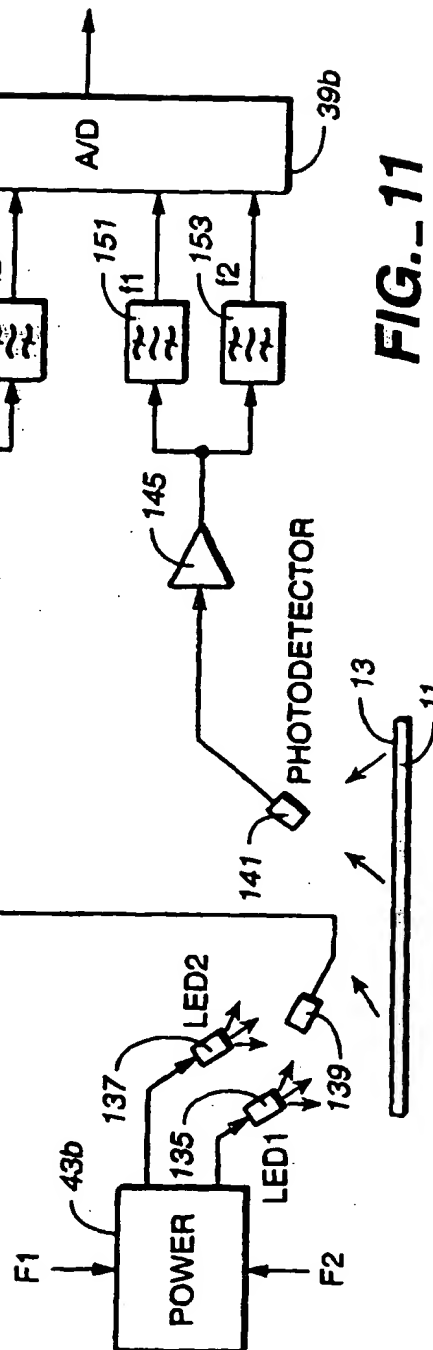


FIG. 11

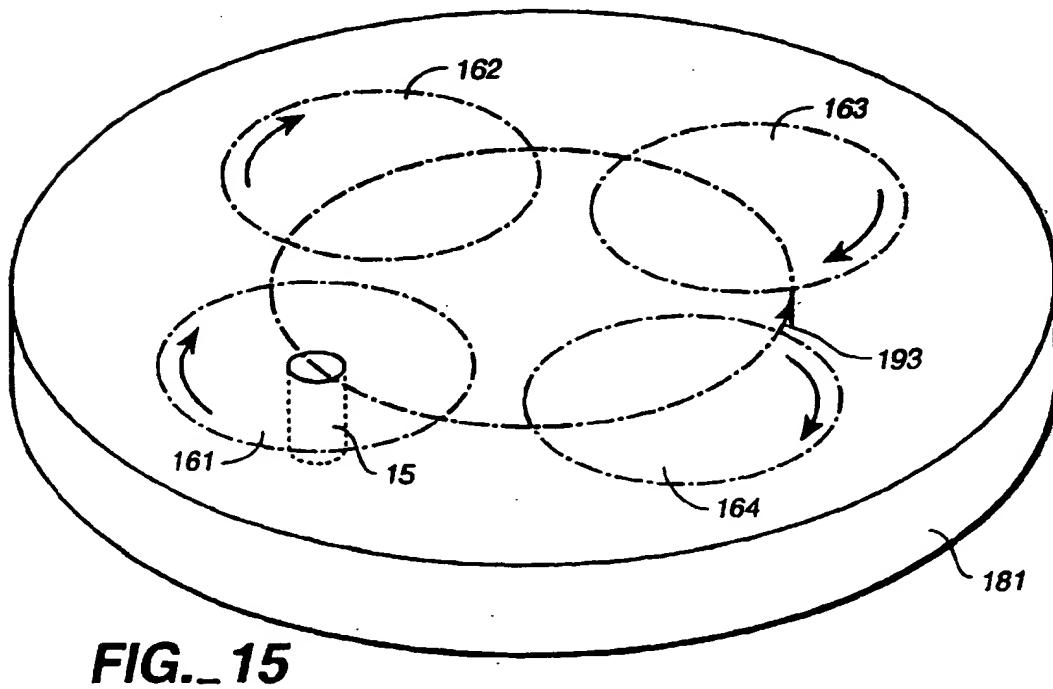
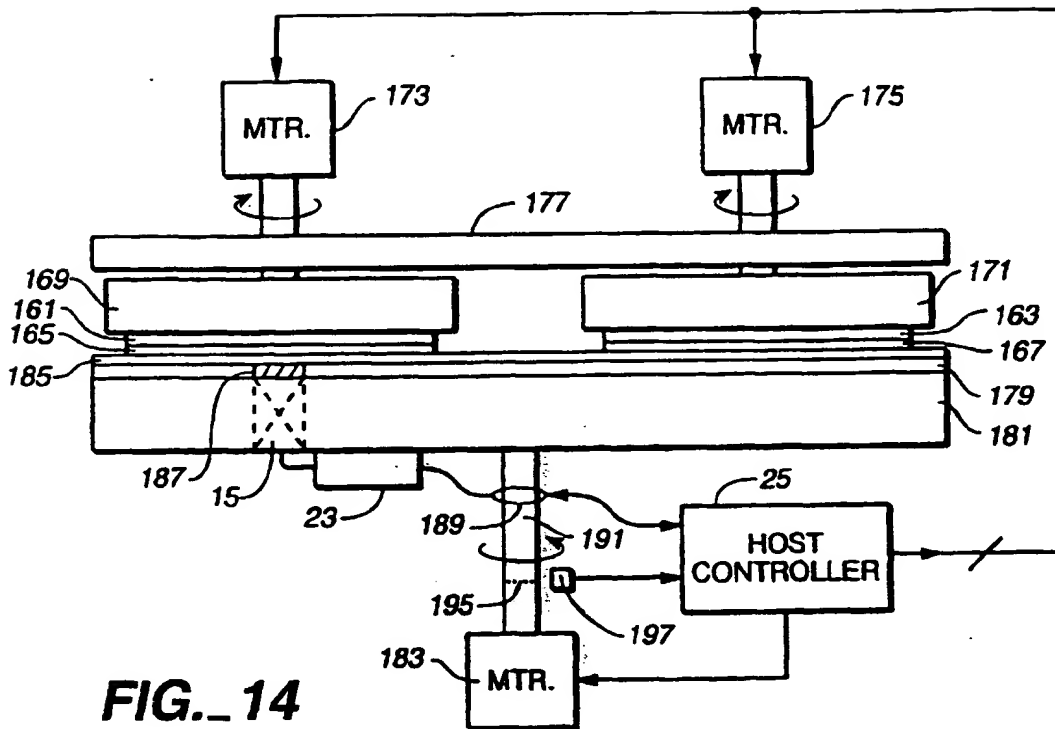




FIG. 16A

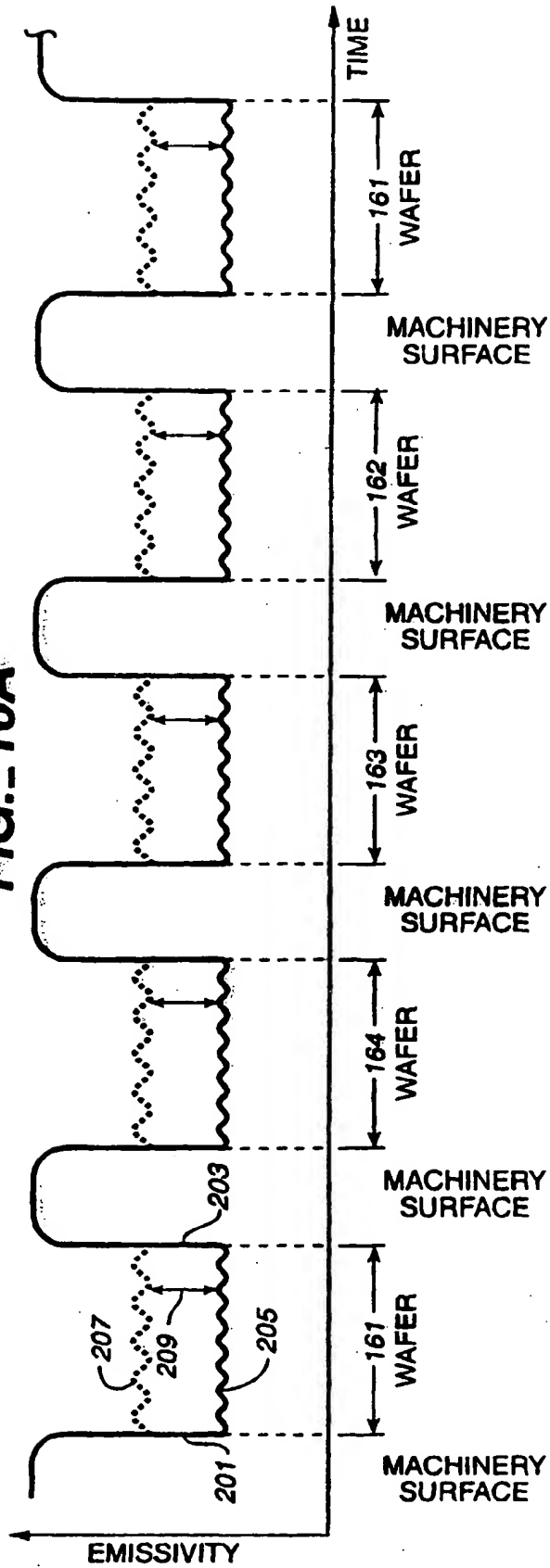


FIG. 16B





European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 4118

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
Y	EP 0 663 265 A (IBM) 19 July 1995 (1995-07-19)	1,3,5,6, 8,9, 19-22, 27-29, 31, 42-45,47	601B11/06 601N21/47 B24B37/04
A	* abstract * * column 5, line 22 - column 6, line 57 * * column 7, line 37 - column 8, line 22 * * column 8, line 26 - line 45 * * column 8, line 56 - column 9, line 2 * * column 9, line 7 - line 40 * * column 11, line 44 - line 54 * * column 12, line 58 - column 13, line 7 * * figures 3,4,6-8 *	24-26, 39,41	
Y	WO 94 07110 A (LUXTRON) 31 March 1994 (1994-03-31)	1,3,5,6, 8,9, 19-22, 27-29, 31, 42-45,47	601N
A	* page 4, line 15 - page 5, line 21 * * page 27, line 15 - page 28, line 29 * * figure 18 * & US 5 695 660 A 9 December 1997 (1997-12-09)	38,39	
A	WO 99 01745 A (LJ LABORATORIES) 14 January 1999 (1999-01-14) * page 19, line 32 - page 21, line 15 * * figures 4A,4B,4C *	1,22,31, 38,44,47	
-/-			
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>11 October 2000</b>	Examiner <b>Thomas, R.M.</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone  Y : particularly relevant if combined with another document of the same category  A : technological background  O : non-written disclosure  P : intermediate document</p> <p>T : theory or principle underlying the invention  E : earlier patent document, but published on, or after the filing date  D : document cited in the application  L : document cited for other reasons</p> <p>&amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 02.02 (P04001)



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# EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 4118

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Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (InCL7)
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The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>11 October 2000</b>	Examiner <b>Thomas, R.M.</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X: particularly relevant if taken alone</p> <p>Y: particularly relevant if combined with another document of the same category</p> <p>A: technological background</p> <p>O: non-written disclosure</p> <p>P: intermediate document</p>		<p>T: theory or principle underlying the invention</p> <p>E: earlier patent document, but published on, or after the filing date</p> <p>D: document cited in the application</p> <p>L: document cited for other reasons</p> <p>&amp;: member of the same patent family, corresponding document</p>	

EPO FORM 1503 (3.02.92) (P04021)



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# EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 4118

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Place of search <b>THE HAGUE</b>		Date of completion of the search <b>11 October 2000</b>	Examiner <b>Thomas, R.M.</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

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